

SWISSCOMPLY SANCTIONS LIST CHECKS

New regulatory requirements from FINMA

With the latest FINMA requirements, Sanctions List checks are becoming an even greater focus for the supervisory authorities. Financial intermediaries are obliged to check their client relationships against international and national sanctions lists on an ongoing basis. This obligation includes promptly recognising and processing hits within 24 hours. Missing or inadequate processes can result in considerable legal and reputational risks for institutions.

Your added value with SwissComply

SwissComply takes care of the complete sanctions list synchronisation for you; efficient, legally compliant and well-documented. Our solution relieves pressure on your internal resources, ensures seamless monitoring and reduces the risk of compliance violations. Thanks to the automated processes and direct feedback, you benefit from maximum transparency and access to the latest information.

Our approach:

Continuous monitoring & immediate information

- Our team continuously monitors the hit lists and informs you immediately of any relevant results. You are therefore protected at all times.

Ongoing updates

- You update your data on an ongoing-basis: we ensure that screening and monitoring continue in a reliable manner.

Reliable screening against international sanctions lists

- We carry out the comparison using our IT tool, analyse matches and clarify any uncertainties directly with you.

Simple contract processing

- We provide you with standard contracts and templates that can be used immediately - no lengthy preparatory work on your part.

Convenient data transfer

- You provide us with your lists of names in a clear format - we take care of the rest.

Professional data preparation

- Our Sanctions Team checks and cleans your data so that you are optimally prepared for the screening.



Covered sanctions lists:

- Switzerland (SECO)
- European Union (EU)
- United Kingdom (UK)
- United Nations (UN)
- USA (OFAC)
- Canada

If you are interested, please do not hesitate to contact us using the contact form or contact your Mandate Leader directly.

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