

## SwissComply AG

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# PIONEER IN COMPLIANCE, RISK MGMT & LEGAL FOR IAM'S

## Future proofing your IAM

On your way to the future of independent asset management, you need a partner who offers a comprehensive range of services and understands the increasingly complex regulatory landscape of the IAM. SwissComply is your external specialist who covers all your compliance, risk management and legal needs. In addition to "Outsourcing & Body Lending", our range of services also includes "Start-up-Advisory & Approvals". Through our partner companies, we are also able to offer other services such as accounting including VAT and tax returns as well as corporate services or technology solutions; all from a single source, which can simplify doing business and provide economies of scale.

## Holistic and modular at the same time

Our solutions are integrated and modular at the same time. Holistic, because the individual modules are optimally linked and coordinated with each other and thus interact efficiently. Modular, because we adapt our offer to your individual needs and you can choose either our complete offer or individual modules.

### Compliance & Risk Management Capabilities

We will provide you with a personal risk & compliance officer. Individual modules of our outsourcing and body lending offering:

- Compliance function
- Risk management function
- Investment compliance
- Sparring partner for regulatory issues
- Legal services
- Support during the regulatory audit
- Body lending for temporary absences

### Start-ups & Approvals take you further

We take over the entire coordination for you and act among other things as a contact person for FINMA or other authorities/organisations. Individual components of our services in the area of start-ups & approvals are:

- Foundations of new companies
- Authorisations

## Six reasons to work with SwissComply

1

### We are independent

SwissComply is an independent company. We respect and encourage your independence and sovereignty.

2

### We understand your business

We know the challenges facing asset managers from our own experience and have a clear focus on this client segment.

3

### We act proactively

We proactively work with clients to ensure awareness of regulatory trends and challenges to ensure that you can optimally react to all developments in a timely manner.

4

### You save costs

Our tailored services for asset managers are generally much more cost-efficient than any in-house solution.

5

### We'll take care of it

We take full care of your compliance, risk and legal affairs allowing you to focus 100% on your clients.

6

### We are your partner

Our team is at your side as a long-term and stable partner who helps you creating added value and at the same time achieving competitive advantages.

## Your contact persons

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